

EXHIBIT A: FIRM INFORMATION

Instruction: Please complete the form in CAPITAL LETTERS

Section A1: Organisational Information

1. Please provide the following details of the firm:

Name	
Address	
Contact details	
Telephone No.	
Fax No.	
Date of Inception	
SSM Registration No.	
Paid-up Capital	
Business Registration/ License No.	

2. Ownership structure (please use additional paper, if need be)

Shareholders	%	Position	Year Joined

3. Related, Affiliated or Associated Companies (please use additional paper, if need be)

Name	Company Registration Number	% Holding	Remark

4. Please provide the following details of the individual(s) completing this questionnaire:

Name	
Designation	
Telephone No.	
Fax No.	
Email	

5. Please provide a brief history of firm with an emphasis on any significant developments in the past 3 years. Please include prior names (if any) and the length of existence under its present name and ownership.

6. Please provide the current organisational chart of firm and the firm's succession plans in a separate attachment.
7. Firm's fidelity bond and fiduciary liability insurance policies, including coverage amounts (if any)

Bond/ Insurance	Coverage (amount)(RM)	Remarks

Section A2: Investment Strategy and Process

1. Please briefly describe your firm's investment strategy, screening processes, and portfolio development methodology. Pertinent factors that contribute to the model portfolio.

2. How do you decide to buy or sell a security?

3. Are portfolios managed by individual managers or teams?

4. To what extent does this product use leverage and derivatives? Please explain.

5. Does your company use any particular model in determining the company's portfolio investment? Or does it based on client's preferences?

6. How frequently are investment policy or strategy meetings held?

Section A3: Scope of Services

1. Please provide the scope of services that your company offers?

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Section A4: Asset under Management

1. Please fill out the following tables for the product in question:

Account Type	Asset Amounts (RM)	Number of Accounts
Corporate		
Public fund		
Insurance		
High-net-worth		
Other, please explain		
Total		

2. Please fill the accounts performance for the stipulated years in the below table.

Year	Accounts Lost			Accounts Gained		
	Number	RM mil.	% of Product Assets	Number	RM mil.	% of Product Assets
2019						
2018						
2017						
2016						
2015						
2014						
2013						

3. Please state the reasons for the lost accounts indicated in the table above (not including plan terminations).

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Section A5: Compliance

1. Please describe the compliance assessment process, including the time period covered, testing methods, and frequency.

2. When was the last compliance assessment? Please attach a summary of the report.

3. Please provide a copy of the registration forms you have provided to your local regulator.

4. Has any regulatory body or market authority issued any orders or other sanctions against your firm in the last five years? If yes, please describe.

5. Is your firm or any affiliate is involved in any pending or ongoing litigation, formal investigation, or administrative proceedings related to money management activities? If yes, please describe.

6. Have the principals of your firm been under investigation related to money management activities in the last five years? If yes, please explain.

Section A6: Governance

1. Please provide a summary of your firm's internal control structure.

2. Please describe any potential conflicts of interest your firm may have in the management of this account. If there are conflicts, please describe how they are addressed.

Section A7: Trading

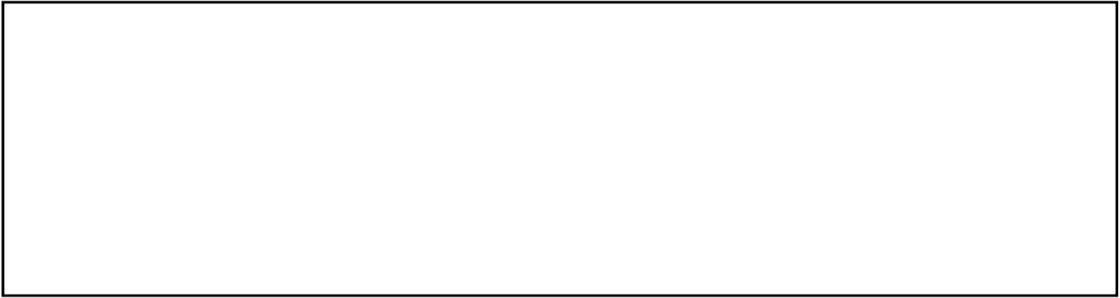
1. Please describe any restrictions you may have on client-directed transactions.

2. Please describe your policies and procedures concerning trading and execution, including those relating to (i) how your firm seeks to achieve best execution; (ii) how your firm ensures equitable trading for all clients (i.e., the account of one client is not favoured above the account of another) and exceptions to this policy, if any; (iii) allocation of trades (e.g., by portfolio manager or automated); and (iv) side-by-side management of hedge funds and other products, if applicable.

Section A8: Risk Management

1. Is there any mechanism in determining the optimum level of risk assessment in your portfolio investment? Please briefly explain.

2. Please provide a short biography or resume of the person(s) who is (are) responsible for the overall risk management of your firm.

A large, empty rectangular box with a black border, intended for the user to provide a short biography or resume of the person(s) responsible for the overall risk management of their firm.